FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

| OMB APPROVAL             |               |  |  |  |  |  |
|--------------------------|---------------|--|--|--|--|--|
| OMB Number:              | 3235-<br>0104 |  |  |  |  |  |
| Estimated average burden |               |  |  |  |  |  |
| hours per response:      | 0.5           |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Slaiby Peter E. Requirements   |         |   |   | Date of Event equiring Statement lonth/Day/Year)  2/23/2020  3. Issuer Name and Ticker or Trading Symbol  Ocean Power Technologies, Inc. [OPTT] |   |                   |  |   |  |                            |  |
|--|---------|---|---|---|---|-------------------|--|---|--|----------------------------|--|
| (Last) C/O OCEA  |         | (Middle)  |   |   | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner |                   |  |   | 5. If Amendment, Date of Original Filed (Month/Day/Year) |                            |  |
| TECHNOLOGIES, INC. 28 ENGELHARD DRIVE, SUITE B   |         | m   |   | X Director Officer (give title below)   |   | specify 6. (C     |  | 6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person |  |                            |  |
| (Street) MONROE  | NJ      | 08831   |   |   |   |                   |  |   |  | by More than One<br>Person |  |
| (City)   | (State) | (Zip)   |   |   |   |                   |  |   |  |                            |  |
| Table I - Non-Derivative Securities Beneficially Owned   |         |   |   |   |   |                   |  |   |  |                            |  |
| 1. Title of Security (Instr. 4)  |         |   | [ | 2. Amount of Securities<br>Beneficially Owned (Inst<br>4)   |   | Direct<br>ndirect | 4. Nature of Indirect Beneficial<br>Ownership (Instr. 5) |   |  |                            |  |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |         |   |   |   |   |                   |  |   |  |                            |  |
| Expirati   |         | 2. Date Exercisable and<br>Expiration Date<br>Month/Day/Year) |   | Underlying Derivative Security (Instr. 4) Co  |   | 4.<br>Convers     | sion   | 5.<br>Ownership<br>Form:<br>Direct (D)  | 6. Nature of Indirect Beneficial Ownership (Instr. 5)    |                            |  |
|  |         |   |   |   |   | Security          | or Exer  |   |  |                            |  |

**Explanation of Responses:** 

No securities are beneficially owned.

/s/ Peter E. Slaiby by Matthew T. Shafer as

attorney-in-fact

\*\* Signature of Reporting Person

Date

12/23/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.